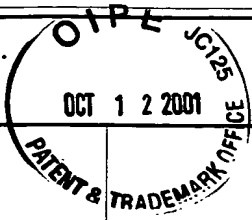


**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
Tie Tek-001

In Re Application Of: SULLIVAN, Henry, et al.



Serial No.
09/800,171

Filing Date
3/5/01

Examiner

Group Art Unit

Invention: **NEW IMPROVED RAILROAD TIE AND METHOD FOR MAKING SAME**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
4/13/01
Date

Enclosed herewith for filing are the following:

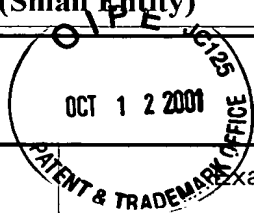
- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☒ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ 1 verified small entity declaration(s)
 - ☐ is/are attached.
 - ☒ was/were filed on 3/5/01
- ☐ A separate request for refund.
- ☒ Other (list):

return postal card; Certificate of Express Mailing (#EL 921419265 US)

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
Tie Tek-001

In Re Application Of: SULLIVAN, Henry, et al.



Serial No.
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Examiner

Group Art Unit

Invention: **NEW IMPROVED RAILROAD TIE AND METHOD FOR MAKING SAME**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees \$65.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☒ Four months ☐ Five months

from: 6/13/01
Date

until: 10/13/01
Date

Total time extension fees \$720.00

Total fees due \$785.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
Tie Tek-001

In Re Application Of: **SULLIVAN, Henry, et al.**

Serial No.
09/800,171

Filing Date
3/5/01

Examiner

Group Art Unit

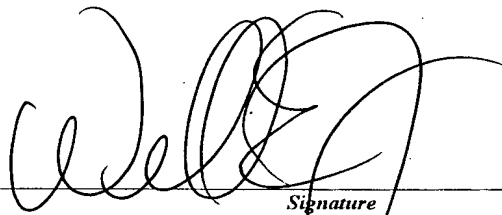
Invention: **NEW IMPROVED RAILROAD TIE AND METHOD FOR MAKING SAME**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$785.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **13-2166**
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **13-2166**
A duplicate copy of this sheet is enclosed.


Signature

Dated: **10/12/01**

WILLIAM E. JOHNSON, JR.
REG. NO. 22,719
THE MATTHEWS FIRM
1900 WEST LOOP SOUTH, STE. 1800
HOUSTON, TEXAS 77027
TEL: 713-355-4200
FAX: 713-355-9689


I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

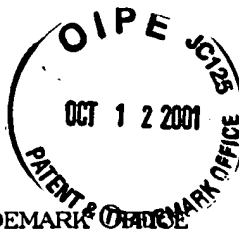
Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

11-A 01-15-00 sector #

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)		Docket No. ✓	
Applicant(s): SULLIVAN, Henry, et al.		Tie Tek-001	
Serial No. 09/800,171	Filing Date 3/5/01	Examiner OCT 12 2001 PATENT & TRADEMARK OFFICE	Group Art Unit
Invention: NEW IMPROVED RAILROAD TIE AND METHOD FOR MAKING SAME			
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 5px; margin: 10px 0;">RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED (PTO-1533) (SMALL ENTITY) and all documents referenced therein</div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231</p> <p style="text-align: center;"><u>10/12/01</u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"><p>MATT ROBINSON <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p><p> <i>(Signature of Person Mailing Correspondence)</i></p><p>EL 921419265 US <i>("Express Mail" Mailing Label Number)</i></p></div>			
<p>Note: Each paper must have its own certificate of mailing.</p>			

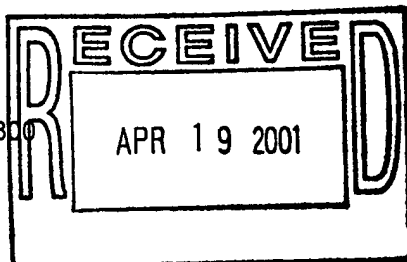


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/800,171	03/05/2001	Henry W. Sullivan	Tie Tek-001

THE MATTHEWS FIRM
1900 West Loop South, Suite 1800
Houston, TX 77027



CONFIRMATION NO. 3592

FORMALITIES LETTER



OC000000005965480

Date Mailed: 04/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

10/17/2001 HVUONG1 00000049 09800171

01 FC:205 65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Tahh P m d

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE